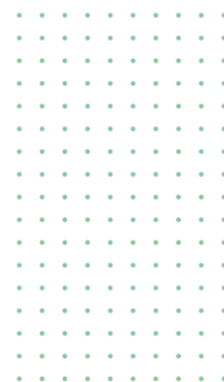




VALLEYS

Valorisation Alliance Linking Laboratories,
Enterprises and society Stakeholders



Application Form – Strand A: Toolbox Integration Pilots

Open Call #1 – Annex 2



Funded by
the European Union

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1. Legal and Contact Information

1.1 Participant Information (Main contact point)

- **First Name*:**
- **Last Name*:**
- **Email*:**
- **Phone number*:** (incl. Country code)
- **Gender*** (Woman/Man/Non-binary/Prefer not to say)
- **Organisation*:**
- **Position in the organisation:**

1.2 Organisation Information

- **Organisation legal name*:**
- **Organisation short name*:**
- **Legal address*:**
- **City/Town*:**
- **Province/Region/County*:**
- **Country*:** *[drop-down list]*: The applicant must be established in an EU Member State or Horizon Europe Associated Country* ([List of Horizon Europe Associated Countries](#) as published by the European Commission) by the closure date of the call.
- **Is your organisation established in a Widening country, region or territory?*** (Yes / No) *[auto-derived from country; drop-down for EU Outermost Regions]*
- **Phone number*** (incl. country code):
- **Organisation's website*:**
- **VAT number / Tax Identification Number*:**
- **PIC number** (if available):
- **Select the type of legal entity*** *[select one]*:
 - SME / startup:
 - Do you comply with the European Commission [Recommendation 2003/361/EC16](#) and, the [SME user guide17](#) Headcount in Annual Work Unit (AWU) less than 250.
 - Annual turnover less or equal to 50 million EUR OR annual balance sheet total less or equal to 43 million EUR.
 - NGO / Foundation / Association
 - University / Research Organisation
 - Public Body
- **Select the type of knowledge valorisation intermediary*** *[select one]*
 - Technology Transfer Office (TTO/KTO);
 - Incubator;

- Accelerator;
 - Innovation hub;
 - Cluster organisation;
 - Science or Technology Park umbrella organisation;
 - IP advisory firm;
 - Innovation consultancy;
 - Tech transfer specialist;
 - Citizen engagement organisation;
 - Standardisation liaison body;
 - Other (please specify)
- **Describe how your organisation (or the department/group inside a larger organisation submitting this proposal) acts as a connector between research and innovation actors, industry, policymakers and society to transform knowledge into social and economic value. (min 50 max 180 words).**

2. Eligibility Self-declaration

1. **Is your organisation a legal entity (natural persons are excluded)?* YES/NO**
2. **Is your organisation legally established and is it operational, independently of its legal status type?* YES/NO**
3. **Does your organisation qualify as a Knowledge Valorisation Intermediary (KVI) as defined by VALLEYS?* YES/NO**
4. **Can you confirm to the best of your knowledge that neither the application as a whole nor any parts of it have benefitted from other funding?* YES/NO**
5. **Is your organisation free of any legal link or association with a member of the VALLEYS consortium, and is it not a consortium member itself?* YES/NO**

3. Project description

1. **Project name*:**
2. **Project acronym* (max 5 words):**
3. **General description*:** Tell us about the Toolbox integration pilot you would like to develop within VALLEYS Open Call #1. *(min 50 max 100 words)*
4. **EU Code of Practice addressed* [select at least one]:**
 - Intellectual assets management
 - Standardisation in R&I
 - Industry–academia co-creation
 - Citizen engagement
5. **Objectives*:** What are the specific objectives of your six-month pilot and how do they relate to the ERA Guiding Principles and to the Code(s) of Practice selected above? *(min 80 max 180 words)*
6. **Additional Thematic Working Group:** Your organisation will participate in the VALLEYS Working Group corresponding to the Code of Practice addressed by your pilot (selected in question 4). Please indicate any additional Working Group(s) you would like to join:
 - IP management
 - Standardisation
 - Industry–academia co-creation
 - Citizen engagement
 - Policy (open to all applicants)
7. **VALLEYS training programme*:** I acknowledge that all the designated staff directly involved in the pilot must register on the VALLEYS Learning Management System (LMS), and that the staff designated in section 5 must complete the designated self-paced online modules (each lasting approximately 1.5h). YES/NO
8. **Modules you intend to complete* [full description of each Module in Annex 1].** The selection is indicative (at least 3) and may be adjusted with your buddy coach as part of the KPI and Milestones Agreement.
 - **Module 1: Designing an IP/IA strategy**
 - **Module 2: Open licensing & responsible IP**
 - **Module 3: Data/know-how valorisation**
 - **Module 4: How to integrate standards early in projects**
 - **Module 5: Standardisation & valorisation**
 - **Module 6: Successful industry-academia collaboration**
 - **Module 7: Bringing industry into the valorisation ecosystem**
 - **Module 8: Developing entrepreneurial skills in researchers**
 - **Module 9: Entrepreneurial thinking for research valorisation**
 - **Module 10: Foundations of citizen engagement for valorisation**
 - **Module 11: Facilitation and co-creation methods**

- **Module 12: Impact and sustaining citizen engagement**
- **Module 13: Open Innovation for Research-to-Market**
- **Module 14: Gender & Inclusion in Knowledge Valorisation**
- **Module 15: Research Data for Knowledge Valorisation**
- **Module 16: Business model discovery for research-based innovation**

4. Excellence and Relevance

1. **Problem or use-case addressed***: Frame the concrete problem or use-case your pilot will address and show the explicit application of at least one EU Code of Practice. *(min 80 max 180 words)*
2. **End-users***: Identify the end-users of the action (internal staff, researchers, SMEs, citizens, policymakers...) and explain why the action matters to them. *(min 80 max 180 words)*
3. **Methodology and approach***: Describe the approach, methodology and explain why it is proportionate to a six-month pilot. *(min 80 max 180 words)*
4. **Toolbox modules to be deployed*** *[select one or more]¹*:
 - **IMPACT IP** — rapid triage of intellectual asset disclosures, portfolio scans and spin-out readiness assessments.
 - **Matchmaking Engine** — connecting research assets with partners, companies, investors and standardisation bodies.
 - **Cascade Funding Cross-Referencer** — matching organisations with relevant open calls and funding opportunities.
 - **Proposal Compliance Assistant** — multilingual support for non-expert users preparing EU-compliant funding applications.
5. **Baseline versus target workflow***: Briefly describe the current process you want to improve and how you expect it to change after using the selected Toolbox module(s). Highlight the the expected improvement and, where possible, provide a few relevant figures. *(min 80 max 180 words)*
6. **Integration pathway***: Describe the integration pathway into your operational workflows, including IT/security readiness and capacity-building needs. *(min 80 max 180 words)*

¹ The exact functionalities available in the Alpha release will be confirmed at the pilot kick-off meeting.

5. Implementation and Quality

1. **Implementing team*** *[repeat per team member]*
 - **First name***
 - **Last name***
 - **Role in the project*** (e.g. project lead, technical lead, trained user)
 - **LinkedIn profile**
 - **CV*** (in PDF, preferably in Europass format)
 - **Main tasks in the project*** (max 80 words) — describe how they will contribute to achieving the project goals and objectives, and what experience and skills they have that are relevant to the project.
2. **Activity plan***: Provide a clear and detailed activity plan for the six-month pilot, explaining what your organisation intends to do and how these activities directly support the project objectives, and the main risks with their mitigation measures. (min 180 max 350 words)
3. **Gantt chart***: Upload a Gantt chart including all planned activities for the project's execution (duration: 6 months). (PDF)
4. **Onboarding, configuration and testing plan***: Detail the onboarding and configuration of your organisational workspace, the testing arrangements, staff training, the selection of the real cases to be processed, and the support required from the VALLEYS consortium. (min 80 max 180 words)
5. **Milestones, deliverables and KPIs***: List the milestones and deliverables of the pilot aligned with the three VALLEYS payment milestones (Month 2, 4 and 7), and the KPIs (operational and dissemination/replication) with baseline and target values. These will be the basis for the KPI set agreed with your buddy coach. (min 80 max 300 words)
6. **Ethics, data protection and intellectual assets***: Describe how the project will address ethics, data protection (GDPR) and IA/IP arrangements (e.g. background registers, licensing choices, confidentiality of the cases processed through the Toolbox). (min 80 max 180 words)
7. **Letter(s) of commitment (if applicable)** (optional, in PDF).

6. Impact, Uptake and Replication

1. **Expected outcomes and related impacts*:** Describe the concrete results of the pilot (*e.g. cases processed, time-to-decision, staff trained, procedures adopted*), linked to the KPIs in 5.5. and the related impact your pilot may produce. (*min 80 max 180 words*)
2. **Continuation plan*:** Present a credible post-grant pathway covering continuation and replication beyond the funding period (*e.g. MoUs, internal budget lines, named governance owner*) including the plan to keep using the Toolbox beyond the pilot. (*min 80 max 180 words*)
3. **Replication and policy relevance*:** How could your results and lessons learned be replicated by other territories or organisations (*e.g. transferable protocols, guidelines, templates, adoption by other valleys*), and could they inform standards, policies or citizen practices? (*min 80 max 180 words*)

7. Communication, Dissemination and Uptake

1. **Please select the dissemination strategies your organisation plans to use*** *[select one or more options]*
 - ☐ Sharing the results in social media / dissemination materials / website updates
 - ☐ Presenting the results at conferences and workshops
 - ☐ Publishing articles in professional, academic or industry publications
 - ☐ Organising webinars and online events
 - ☐ Creating informative videos for social media platforms
 - ☐ Participating in interviews with media outlets
 - ☐ Hosting demos and showcasing the project outputs to stakeholders
 - ☐ Sharing SOPs, playbooks or templates as open resources
 - ☐ Contributing to open-source or open-access repositories
 - ☐ Other — if other, please specify
2. **Please select the strategies you plan to use to sustain and exploit the results of your project*** *[select one or more options]*
 - ☐ Institutionalisation: embedding the results into standard operating procedures and the service offer
 - ☐ New or improved services offered to clients/members
 - ☐ Collaboration with other organisations to further develop and apply the results
 - ☐ Open access: making results publicly available as open resources
 - ☐ Replication: supporting other KVI in adopting the same practice
 - ☐ Further development of the practice or tool beyond the pilot
 - ☐ Other — if other, please specify
3. **Additional examples: Give examples of the communication, dissemination and sustainability or exploitation activities you have in mind** *(e.g. planned events, channels, publications, open resources, follow-up uses of the results). (max 180 words)*

8. Other

Where did you get to know about us?

- ☐ Through the partners. Which one?
- ☐ Through social media. Which one?
- ☐ At an event Which one?
- ☐ Through mailing
- ☐ Through the Funding & Tenders portal or another funding platform
- ☐ Other, please specify.

9. Ethics self-assessment

1. HUMAN EMBRYONIC STEM CELLS AND HUMAN EMBRYOS

- a. **Does this activity involve Human Embryonic Stem Cells (hESCs)?* YES/NO**
If YES:
 - i. **Will they be directly derived from embryos within this project?* YES/NO**
 - ii. **Are they previously established cells lines?* YES/NO**
 - iii. **Are the cell lines registered in the European registry for human embryonic stem cell lines?* YES/NO**
- b. **Does this activity involve the use of human embryos?* YES/NO**
If YES: **Will the activity lead to their destruction?* YES/NO**

2. HUMANS

- a. **Does this activity involve human participants?* YES/NO**
If YES:
 - i. **Are they volunteers for nonmedical studies (e.g. social or human sciences research)?* YES/NO**
 - ii. **Are they healthy volunteers for medical studies?* YES/NO**
 - iii. **Are they patients for medical studies?* YES/NO**
 - iv. **Are they potentially vulnerable individuals or groups?* YES/NO**
 - v. **Are they children/minors?* YES/NO**
 - vi. **Are they other persons unable to give informed consent?* YES/NO**
- b. **Does this activity involve interventions (physical also including imaging technology, behavioural treatments, etc.) on the study participants?* YES/NO**
If YES:
 - i. **Does it involve invasive techniques?* YES/NO**
 - ii. **Does it involve collection of biological samples?* YES/NO**
- c. **Does this activity involve conducting a clinical study as defined by the Clinical Trial Regulation (EU 536/2014)?* (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products) YES/NO**
If YES:
 - i. **Is it a clinical trial?* YES/NO**
 - ii. **Is it a low-intervention clinical trial?* YES/NO**

3. HUMAN CELLS / TISSUES (not covered by section 1)

- a. **Does this activity involve the use of human cells or tissues?* YES/NO**
If YES:
 - i. **Are they human embryonic or fetal cells or tissues?* YES/NO**
 - ii. **Are they available commercially?* YES/NO**
 - iii. **Are they obtained within this project?* YES/NO**
 - iv. **Are they obtained from another project, laboratory or institution?* YES/NO**
 - v. **Are they obtained from biobank?* YES/NO**

4. PERSONAL DATA

- a. **Does this activity involve processing of personal data?*** YES/NO
If YES:
 - i. **Does it involve the processing of special categories of personal data (e.g.: sexual lifestyle, ethnicity, genetic, biometric and health data, political opinion, religious or philosophical beliefs)?*** YES/NO
If YES: **Does it involve processing of genetic, biometric or health data?*** YES/NO
 - ii. **Does it involve profiling, systematic monitoring of individuals, or processing of large scale of special categories of data or intrusive methods of data processing (such as, surveillance, geolocation tracking etc.)?*** YES/NO
- b. **Does this activity involve further processing of previously collected personal data (including use of preexisting data sets or sources, merging existing data sets)?*** YES/NO
- c. **Is it planned to export personal data from the EU to non-EU countries?*** YES/NO
If YES: Specify the type of personal data and countries involved:
- d. **Is it planned to import personal data from non-EU countries into the EU or from a non-EU country to another non-EU country?*** YES/NO
If YES: Specify the type of personal data and countries involved
- e. **Does this activity involve the processing of personal data related to criminal convictions or offences?*** YES/NO

5. ANIMALS

- a. **Does this activity involve animals?*** YES/NO
If YES:
 - i. **Are they vertebrates?*** YES/NO
 - ii. **Are they non-human primates (NHP)?*** YES/NO
 - iii. **Are they genetically modified?*** YES/NO
 - iv. **Are they cloned farm animals?*** YES/NO
 - v. **Are they endangered species?*** YES/NO

6. NON-EU COUNTRIES

- a. **Will some of the activities be carried out in non-EU countries?*** YES/NO
If YES: Specify the countries:
- b. **In case non-EU countries are involved, do the activities undertaken in these countries raise potential ethics issues?*** YES/NO
If YES: Specify the countries:
- c. **Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?*** YES/NO
- d. **Is it planned to import any material (other than data) from non-EU countries into the EU or from a non-EU country to another non-EU country?*** For data imports, see section 4. YES/NO

If YES: Specify material and countries involved:

e. Is it planned to export any material (other than data) from the EU to non-EU countries?*

For data exports, see section 4. YES/NO

If YES: Specify material and countries involved:

f. Does this activity involve low and/or lower-middle income countries?* (if YES, detail the benefit sharing actions planned in the self-assessment) YES/NO

g. Could the situation in the country put the individuals taking part in the activity at risk?* YES/NO

7. ENVIRONMENT, HEALTH and SAFETY

a. Does this activity involve the use of substances or processes that may cause harm to the environment, to animals or plants (during the implementation of the activity or further to the use of the results, as a possible impact)?* YES/NO

b. Does this activity deal with endangered fauna and/or flora / protected areas?* YES/NO

c. Does this activity involve the use of substances or processes that may cause harm to humans, including those performing the activity (during the implementation of the activity or further to the use of the results, as a possible impact)?* YES/NO

8. ARTIFICIAL INTELLIGENCE

a. Does this activity involve the development, deployment and/or use of Artificial Intelligence based systems?* (if YES, detail in the self-assessment whether that could raise ethical concerns related to human rights and values and detail how this will be addressed). YES/NO

9. OTHER ETHICS ISSUES

a. Are there any other ethics issues that should be taken into consideration?* YES/NO

b. For each ethics issue identified, provide a brief explanation of the issue and how it will be managed/mitigated. (max 1000 characters)

The beneficiary must ensure that all ethics issues related to activities in the grant are addressed in compliance with ethical principles, the applicable international and national law, and the provisions set out in the Grant Agreement. This includes the ethics issues identified in this report and any additional ethics issues that may emerge in the course of the grant. In case any substantial new ethics issues arise, beneficiaries should inform the granting authority.

By submitting this form, I confirm that I have taken into account all ethics issues above and that, if any ethics issues apply, I will complete the ethics self-assessment as described in the guidelines [‘How to Complete your Ethics Self-Assessment’](#).

10. Declaration of Honour

By submitting this form, I, the applicant:

hereby certify that (subject to the additional declarations below):

1. The information provided for this project is truthful, correct and complete.
2. The information concerning the legal status in the Application for my organisation is correct and complete and concurs with the stipulations outlined in the Guidelines for Applicants, including its annexes (if applicable).
3. My organisation commits to comply with the eligibility criteria set out in the call for proposals for the entire duration of the action.
4. My organisation:
 - is committed to participate in the action;
 - has stable and sufficient sources of funding to maintain the activity throughout the action and to provide any counterpart funding necessary;
 - has or will have the necessary resources needed to implement the action;
5. My organisation
 - is NOT subject to an administrative sanction (i.e., exclusion or financial penalty decision).
6. My organization is NOT in one of the following exclusion situations:
 - bankrupt, being wound up, having the affairs administered by the courts, entered into an arrangement with creditors, suspended business activities or subject to any other similar proceedings or procedures;
 - in breach of social security or tax obligations.
7. My organisation (or persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant): are NOT in one of the following exclusion situations:
 - guilty of grave professional misconduct;
 - committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking;
 - shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement or grant decision;
 - guilty of irregularities within the meaning of Article 1(2) of Regulation No 2988/95;
 - created under a different jurisdiction with the intent to circumvent fiscal, social, or other legal obligations in the country of origin (including creation of another entity with this purpose).

8. My organisation is NOT subject to a conflict of interest in connection with this grant and will notify — without delay — any situation which could give rise to a conflict of interests.
9. My organisation has NOT and will NOT, neither directly nor indirectly, grant, seek, obtain, or accept any advantage in connection with this grant that would constitute an illegal practice or involve corruption.
10. My organisation has not received any other EU grant for this project and will give notice of any future EU grants related to this project AND of any EU operating grant(s) given to my organisation.
11. My organisation is aware that false declarations may lead to rejection, suspension, termination or reduction of the grant and to administrative sanctions (i.e., financial penalties and/or exclusion from all future EU procurement contracts, grants, prizes and expert contracts).

11. Personal Data Protection Basic Information

As part of VALLEYS, we are committed to protecting your privacy and secure your personal data. This notice explains how we handle your personal data in accordance with the applicable national and European data protection legislation, including Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (GDPR).

As we determine the purpose and means of processing your personal data in the context of submission of proposal for an Open Call, which is part of the VALLEYS activities, we are considered to be the Data Controller regarding the processing of your personal data.

We are SPLOROTECH SL, address: PARQUE TOMÁS CABALLERO 2, Sexto, Oficina 1,

Despacho 6.10, Pamplona, Spain (hereinafter Sploro)

E-mail: lopd@sploro.eu

In the context of conducting this Open Call, which is part of the project VALLEYS, we collect your personal data necessary for evaluating your proposal. These data may include:

- Name, contact information, and professional details (provided via form, CV standalone files or any other type of data)
- Information included in your application forms, supporting documents, or other materials.

This data will be used for purposes such as evaluating submissions, managing participation in the programme, and complying with our contractual obligations under grant agreements the programme you are applying are part of.

Your personal data may be shared with third parties which may, include, but are not limited to:

- **Granting Authorities:** REA.
- **Consortium Partners:** The information provided may also be shared with the partners in the consortium. These partners are contractually obligated to handle your data in compliance with applicable with national and European data protection legislation, including GDPR standards, ensuring the confidentiality and security of your personal data. More about the project consortium members you can find at the project website.
- **Subcontractors or evaluators** involved in assessing applications or implementing actions.

- **Monitoring and oversight bodies**, including internal audits, the European Court of Auditors, and the European Anti-Fraud Office (OLAF), as required under Union or national laws.

Such sharing will be conducted in compliance with GDPR, the grant agreements, and other relevant regulations. In particular:

- For EC co-funded programme, personal data included in applications will be shared with the EC or its agencies, as required by Articles 15, 19, and 25 of Horizon Europe Grant Agreement.
- REA may process this data as data controllers to monitor compliance, ensure proper implementation, and verify eligibility.

11.1. Accuracy and Completeness of Data

All data provided must be accurate, precise, and complete, as required by **Article 19.1 of the Horizon Europe Grant Agreement**. Failure to provide such data may result in the inability to process your application or other actions necessary for programme participation.

11.2. Security Measures

Sploro implements appropriate technical and organizational measures to ensure the security of your personal data. However, the hosting and operation of the platform are managed by **AcceleratorApp**, and their policies apply to the processing operations they manage. You can view their Privacy Policy for details.

All data processing activities are carried out in a strictly confidential manner, and secured according to the state of the art, and industry best standards using anonymization techniques where possible.

The processing of your personal data is carried out strictly in accordance with the principles of data protection as laid down in the GDPR. All data processing activities are carried out lawfully by ensuring that the legal ground for the processing is given.

As the processing of your personal data is based on your consent, you have the right to withdraw your consent at any time by contacting us at lopd@sploro.eu. Please note, however, that once you have withdrawn your consent, we will no longer be able to process your data and will not be able to continue with the evaluation of your proposal.

We will only process your data for the purposes explained in this article and will only retain data for as long as necessary to fulfil the purposes explained. After that, your data will be securely deleted or anonymized. We emphasize that we take appropriate technical and organizational

measures to protect your data from unauthorized access, disclosure or misuse, in accordance with the requirements of relevant regulations.

Under the GDPR, you have the following rights concerning your personal data:

- Right of Access
- Right to Rectification
- Right to Erasure
- Right to Restrict Processing
- Right to Data Portability
- Right to Object

To exercise data protection rights or have any questions regarding your personal data, please contact us at lopd@sploro.eu.

Please read Legal disclaimer and Privacy policy and confirm:

- I have read and accepted the [Legal Notice](#) and [Privacy Policy](#)* YES/NO
- I have read and accepted the [Terms of Use](#) and [Privacy Notice](#) of the Open Call Platform (AcceleratorApp).* YES/NO
- I have read and accepted the [Terms of services](#)* YES/NO

Data controller: Splorotech, S.L. (ES- B71438956). Purposes of processing: Offer and manage our products and services for entrepreneurs, startups, companies, industries, corporations and researchers to manage their innovations projects and related activities; providing contact, consultation, and communication tools with interested and related parties, responding to applications, requests and/or queries.